

NPRB GOA-IERP Summary Page

Proposal Title: Temporal and spatial axes of variability in the structure of Gulf of Alaska forage fish communities

GOA-IERP Component: Forage base (Middle Trophic Level)

Project Period: Start date: *April 2010* End date: *January 2015*

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Summary of Proposed Work:

The Gulf of Alaska (GOA) ecosystem is complex and displays substantial variability over space and time. Forage fishes provide a critical link between primary and secondary producers and upper trophic level (UTL) predators. The forage fish community includes small, fast-growing species (e.g. capelin and sand lances) as well as juvenile stages of large predatory groundfishes (e.g. walleye pollock and Pacific cod). We hypothesize that 1) forage fish populations are regulated from the bottom up, 2) the mechanisms of that regulation vary among regions of the GOA, 3) interspecies interactions are driven by variability in the habitat needs of individual species, and 4) competition among species results in reduced nutritional condition for all species. While forage fishes have received much research attention in the GOA, a comprehensive view of this community remains elusive. We propose to provide a synoptic view of forage fish distribution, abundance, habitat preferences, and trophic linkages from the shoreline out to the shelf break. In doing so, we will compare community structure at different scales. Regional and local spatial scales will be considered; temporal scales include seasonal, annual, and decadal variability. Historical

data will be synthesized and analyzed to study interannual and decadal variability in forage communities. We will conduct nearshore surveys using multiple gear types and supplement the proposed UTL offshore surveys with acoustic transects. We will assess habitat characteristics and use analyses of stable isotope ratios, fatty acids, and energy content to infer interactions among species and their effects on nutritional condition and prey quality.

Total Funding Requested From NPRB & Matching support:

	Requested	Other Support
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University of Washington	\$ 360,233	\$ 0
Sedna Ecological, Inc.	\$ 54,361	\$ 0
Dalhousie University	\$ 113,211	\$ 76,960
Total:	\$1,858,400	\$788,158

Legally Binding Authorization Signature and Affiliation:

Signature:  Date: 3/31/2010

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RESEARCH PLAN

A. Project Title

Temporal and spatial axes of variability in the structure of Gulf of Alaska forage fish communities

B. Proposal Summary

The Gulf of Alaska (GOA) ecosystem is complex and displays substantial variability over space and time. Forage fishes provide a critical link between primary and secondary producers and upper trophic level (UTL) predators. The forage fish community includes small, fast-growing species (e.g. capelin and sand lances) as well as juvenile stages of large predatory groundfishes (e.g. walleye pollock and Pacific cod). We hypothesize that 1) forage fish populations are regulated from the bottom up, 2) the mechanisms of that regulation vary among regions of the GOA, 3) interspecies interactions are driven by variability in the habitat needs of individual species, and 4) competition among species results in reduced nutritional condition for all species. While forage fishes have received much research attention in the GOA, a comprehensive view of this community remains elusive. We propose to provide a synoptic view of forage fish distribution, abundance, habitat preferences, and trophic linkages from the shoreline out to the shelf break. In doing so, we will compare community structure at different scales. Regional and local spatial scales will be considered; temporal scales include seasonal, annual, and decadal variability. Historical data will be synthesized and analyzed to study interannual and decadal variability in forage communities. We will conduct nearshore surveys using multiple gear types and supplement the proposed UTL offshore surveys with acoustic transects. We will assess habitat characteristics and use analyses of stable isotope ratios, fatty acids, and energy content to infer interactions among species and their effects on nutritional condition and prey quality.

C. Soundness of Project Design and Conceptual Approach

Hypotheses and Objectives

The Gulf of Alaska (GOA) ecosystem is complex, with multiple trophic levels and linkages among its components. Environmental conditions are highly variable over seasons, years, and longer time scales (McGowan et al. 1998). Differences in topography, currents, and climate also drive great spatial variability, with lower total biomass but higher species diversity in the eastern GOA and higher biomass and fewer species in the central and western GOA (Mueter and Norcross 2002). Throughout this ecosystem, forage fishes provide the critical link between lower trophic level (LTL) species like euphasiids and copepods and upper trophic level (UTL) species including adult predatory fishes, birds, and marine mammals (Springer and Speckman 1997). The forage fish community is composed of two main groups: juvenile and adult members of small, schooling species such as capelin (*Mallotus villosus*) and Pacific sand lance (*Ammodytes hexapterus*); and juveniles of large predatory groundfish species including walleye pollock (*Theragra chalcogramma*) and Pacific cod (*Gadus macrocephalus*). The population dynamics and habitat needs of these two groups vary, and the differences drive variability in the structure (relative abundance and distribution) of forage fish communities. The environment forces changes in communities by affecting the growth and survival of community members and by altering the availability of suitable habitat. Changes in the forage base affect the availability of prey to UTL predators and may impact juveniles of other UTL fish species through competition (e.g. the UTL component focal species arrowtooth flounder *Atheresthes stomias*, sablefish *Anoplopoma fimbria*, and Pacific ocean perch *Sebastes alutus*).

Olav A. Ormseth, AFSC

51 Although forage fishes in the GOA have been studied by many researchers, most of this work has been
52 disjointed in space and time. As a result we lack a comprehensive understanding of how forage fish
53 communities vary across the GOA and over time, and how such variability impacts other ecosystem
54 members. We propose to address this problem by using a synoptic, multidisciplinary approach to
55 understand how forage fish community structure in the GOA varies at several temporal and spatial scales.
56 Our approach is based on the following hypotheses:

- 57 1) Variability in forage fish populations is driven by climate and the availability of plankton prey.
- 58 2) Bottom-up regulation of forage fishes exists throughout the GOA, but the mechanisms of that
59 regulation vary by region.
- 60 3) Habitat needs of fishes vary with life stage and season, leading to variability in interspecies
61 interactions.
- 62 4) Competition among species results in reduced nutritional condition for all species, especially in
63 times and areas of low prey availability.

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65
66 Our overall goal is to describe spatial and temporal variability in the structure of forage communities in
67 the GOA and the effects of this variability on UTL predators. To test our hypotheses and provide a means
68 for comparison, we will focus our efforts on the following technical objectives:

- 69 1) Provide a synoptic view of nearshore/offshore distribution and abundance (past and present) to
70 gain a comprehensive understanding of how GOA forage communities are structured, how this
71 structure changes in response to the environment, and the effects of this variability on prey
72 availability for upper trophic level species.
- 73 2) Analyze habitat associations to determine how habitat needs influence the spatial overlap among
74 species and resulting predation and competition.
- 75 3) Use analysis of stomach contents, stable isotopes, and fatty acids to infer diets and elucidate
76 relationships among forage community members, lower trophic level prey, and upper trophic
77 level predators.
- 78 4) Use proximate analysis to assess nutritional condition of community members and relate
79 condition to spatial and diet overlaps among species.

80 81 **Rationale and conceptual underpinning**

82 Environmental forcing is the main determinant of early life survival for many fishes. Two processes are
83 especially important, and are included in the UTL component hypotheses. Fish larvae depend on
84 planktonic prey, and the availability of prey can depend on its abundance or the timing of seasonal events
85 such as blooms. Numerous hypotheses have been forwarded to describe the critical factors, including the
86 necessity of a temporal match between larval emergence and blooms (Cushing 1975) and a requirement
87 for sufficient water column stability to allow plankton growth (Gargett 1997). In the GOA, prey
88 availability in the first two weeks of feeding appears highly influential in determining larval survival
89 (Bailey et al. 1996). Larval transport is also an important factor. Fish larvae are subject to advection by
90 currents, and their survival depends on retention in or transport to areas that provide suitable habitat,
91 including food. In British Columbia, along-shelf transport is thought to influence early life survival of
92 Pacific cod and is included in the stock-recruit models used for assessment (Sinclair et al. 2001). In the
93 central GOA capelin spawn on beaches and their larvae are apparently flushed offshore, although the fate
94 of these larvae is unknown (Doyle et al. 2002).

95
96
97 Individuals select habitat by trading off requirements for food, predator avoidance, and other essential
98 processes (e.g. spawning). For example, sediment types that allow for burial and predator avoidance are
99 the critical habitat variables for some juvenile flatfishes in the nearshore (Norcross et al. 1995). In British
100 Columbia lakes, the vertical distribution of sockeye salmon (*Onchorynchus nerka*) juveniles involves a
101 trade-off between sufficient light for foraging and the increased risk of predation (Clark and Levy 1988).

102 These habitat preferences are driven by an individual's need to maximize its fitness, and it will choose
103 available habitat based on how it improves fitness (Fretwell and Lucas 1970). An area with a low prey
104 density but low predation may be preferable to habitat with more prey that is highly exposed to predators.
105 The environment can have a strong influence on this process. Water temperature is a critical parameter for
106 fishes because it directly affects metabolic rate (Jobling 1994). While warmer temperatures offer the
107 potential advantages of faster growth and larger size, they also increase metabolic costs and are only
108 beneficial when sufficient food is available to sustain increased metabolic rates. Fish will select the
109 habitat that maximizes their growth rate, and that habitat will vary depending on tradeoffs among fish
110 size, temperature, and food availability (Hughes and Grand 2000).

111
112 In the GOA, fish habitat choice is likely determined by an interacting combination of temperature, food
113 availability, competition, and predator avoidance that influence species in different ways. In the
114 laboratory juvenile pollock chose colder temperatures when their food ration was low, apparently to
115 conserve energy by lowering their metabolic rate (Sogard and Olla 1996). When confronted with varying
116 combinations of food types and the presence of predators, the behavior of pollock depended on the
117 particular combination- i.e. predator avoidance was not always the dominant factor (Ryer and Olla 1998).
118 Juvenile pollock preferred warmer inshore waters of Kodiak Island to cooler offshore waters in 2000-
119 2001 (Logerwell et al. 2007), and juvenile pollock in the nearshore during 1993 were in better condition
120 than those farther away from coastal bays (Wilson et al. 2005), presumably because sufficient prey were
121 available for them to take advantage of warmer temperatures. Differences in the physiology of forage
122 fishes also affect habitat trade-offs. In the western GOA, capelin had a daily ration that was
123 approximately one third as large as juvenile pollock in the same area (Wilson et al. 2006). Because
124 juvenile pollock have high growth rates, their need for food is probably greater than it is for capelin and
125 their habitat tradeoffs (e.g. between foraging and predator avoidance) are also likely to be different.

126
127 The presence of oceanographic features is also likely to influence the distribution of forage fishes and
128 their predators. Ocean fronts exist at different spatial and temporal scales (Mann and Lazier 1996). Tidal
129 fronts may last a matter of hours, while fronts caused by persistent currents may be essentially permanent.
130 Fronts often serve as an aggregator of prey items that cannot swim very far (e.g. zooplankton) and they
131 can attract large numbers of forage fish predators. These may in turn attract UTL predators. Therefore,
132 frontal structures are an especially important habitat feature. Similarly, the distribution of preferred
133 habitats (e.g. temperature) can change dramatically in a short period of time. In 2000, winds resulted in an
134 offshore expansion of warm inshore waters over 2 weeks and this event caused a shift in juvenile pollock
135 distribution offshore (Logerwell et al. 2007).

136
137 Competition both affects habitat choice and is influenced by habitat needs and spatial overlaps. The
138 presence of capelin in colder, offshore waters (and thus likely in less suitable habitat) was attributed to
139 their avoidance of competition with juvenile pollock that dominated the waters closer to shore (Logerwell
140 et al. 2007). In southeast Alaska, niche overlap among capelin, herring, and sockeye salmon smolts varied
141 as other habitat requirements changed (Coyle and Paul 1992). Competition between herring and capelin
142 decreased as herring moved closer to shore for spawning, but that movement also increased competition
143 with salmon smolts. Competition may occur only between specific community members. In Prince
144 William Sound herring and pollock had high diet overlap, as did juvenile pink and chum salmon, but
145 there was little overlap between the pairs (Willette et al. 1997). Potential for competition also changes
146 with ontogenetic changes in diet (Sturdevant et al. 2001).

147
148 Reduced access to prey and a resulting loss in body condition and energy reserves, whether as a result of
149 low ecosystem productivity, competition, or other factors, has consequences for forage fishes and their
150 predators. Forage fishes with seasonally high lipid content rely on that energy reserves for overwintering
151 and spawning. In the Barents Sea, capelin faced with competition from polar cod had reduced fat reserves
152 that resulted in lower survival and disrupted reproduction including delayed maturity and spawning

migrations (Ushakov and Prozorkevich 2002). The energy density of herring in Prince William Sound increases until October, after which it declines despite little change in prey quality, and the accumulation of adequate fat reserves is thought to be critical for overwintering survival (Foy and Paul 1999). Energy density of forage fishes is also important for predators. In southeast Alaska Steller sea lions (*Eumetopias jubatus*) are highly dependent on spring spawning runs of eulachon (Womble and Sigler 2006), which have especially high lipid content (Payne et al. 1999). Seabird productivity also declines when their access to high-quality prey is reduced (Hatch and Sanger 1992).

The dynamics of habitat choice and its structuring of fish distributions underscore the necessity for a comprehensive, simultaneous view of nearshore and offshore areas. Juvenile pollock present in inshore waters in 2000 were not there in 2001, but the survey vessel lacked the ability to access nearshore areas and so could not investigate the entire area (Logerwell et al. 2007). Similarly, while juvenile Pacific cod have been found in abundance in nearshore habitats (Abookire et al. 2007; Laurel et al. 2007), they are also regularly captured in AFSC trawl surveys farther offshore. Because a synoptic view of cod distribution is not available, it is unknown whether juveniles are broadly distributed or whether they change their distribution over time. The lack of a synoptic view also hinders estimation of abundance. Estimates of total capelin biomass vary widely (Ormseth and Vollenweider 2008), at least in part because most surveys do not cover nearshore areas. During the summer, when surveys are conducted, capelin are likely to be inshore for spawning (Doyle et al. 2002). This proposal is constructed around the view that a comprehensive understanding of GOA forage fishes relies on simultaneous sampling of nearshore and offshore areas using multiple gear types.

Basis of comparative approach

Comparative studies provide an effective means of understanding ecological processes. Our research will proceed along four potential axes of variability, two spatial and two temporal:

- a) Spatial variability - regional: By sampling in the eastern and central GOA, we will be able to compare how different topographic, oceanographic, and climatological conditions influence biological communities. For example, the eastern GOA has a much narrower shelf than the central GOA and, because it is “upstream” in the Alaska Current, is more heavily influenced by conditions to the south.
- b) Spatial variability - habitat types: Within each of the two main regions there is substantial variability in the types of habitat available to fishes. This variability includes upstream influences on water characteristics (e.g. glacial runoff), exposure to wave action, bottom type, vegetation, and the presence of UTL predators. While it is beyond the scope of this proposal to explore all habitat types in each area, the research is designed to focus on sites that are representative of the range of habitat types available. Comparing species abundance and interactions among these sites will allow us to determine how small-scale variation in habitat influences forage communities.
- c) Temporal variability - interannual and decadal: Through a combination of retrospective analyses and new data, we plan a comprehensive examination of how community structure changes over time and the factors (environmental and otherwise) that are associated with such changes. Candidate data sets include Outer Continental Shelf Environmental Assessment Program (OCSEAP) work done around Kodiak in the 1970s, research performed by UAF and the AFSC off Kodiak in the early 2000s, and the Southeast Coastal Monitoring Program in the eastern GOA. To the extent possible, this comparison will include not only data on distribution and abundance of forage species, but also diet and food web data.
- d) Temporal variability – seasonal: Seasonality is an important factor in the GOA. Availability of prey (at different trophic levels) varies seasonally, as do behaviors of individual species (e.g. spawning). Nearshore communities in particular are likely to change as different life stages of fishes develop. We will examine seasonal variability by conducting our research in three seasons: spring, summer, and fall.

204 Focal species

205 The species that will be the focus of this proposal will vary between and within the two main regions and
206 will depend in part on which species are encountered. This is especially true for examining interactions
207 among species; potential competitors with the UTL focal species will be identified through our surveys
208 and diet analysis. The focal species may include some members that are not generally considered to be
209 forage fishes: for example, species of the family Cottidae are ubiquitous in nearshore Southeast Alaska
210 (Johnson et al. 2005). Cottidae co-occur with early life stages of some of the UTL focal species in beach
211 seine catches and may therefore compete with them. One of the challenges for the MTL component is that
212 we must consider forage fishes as prey, predators, and competitors. We anticipate that the list of focal
213 species will develop as a result of our field work and our interaction with the other components,
214 especially the UTL component.

215
216 Here, we include a preliminary list of species that we expect to play an important role in our studies.
217 Capelin, sand lance, and eulachon (*Thaleichthys pacificus*), are key forage fish species whose members
218 may be juveniles or adults. In addition, Pacific herring (*Clupea pallasii*) are an important member of the
219 forage community in the eastern GOA. We will also consider juvenile pollock, Pacific cod, and Pacific
220 salmon (*Oncorhynchus* species). In their juvenile form these species serve as an important forage base,
221 although their habitat requirements are likely to be different from the adult forage species. While
222 euphasiids serve as prey for some UTL predators (e.g. cetaceans), for the purposes of this proposal we
223 consider them to be part of the LTL component. Squids and lanternfishes (Myctophidae) are an important
224 prey item for some UTL species but are not included in this proposal, partly to make the project more
225 manageable and also because they not as universally important as the focal species. Finally, our study will
226 also examine the nearshore distribution, habitat use, and ecological interactions of juvenile sablefish,
227 Pacific ocean perch, and arrowtooth flounder wherever they are encountered.

228 Study areas

229 The main study areas will coincide with those originally chosen by the UTL component: the eastern and
230 central GOA (Fig. 1). Although the UTL component has revised their survey grid, we have maintained
231 these core regions as a means of focusing our investigations and providing the basis for a comparative
232 approach. The nearshore survey and sample collections will be designed to complement the UTL survey
233 grid, and some work (e.g. hydroacoustic transects) will extend directly inshore from concurrent UTL
234 research work. Because nearshore habitat utilization (and resulting competitive interactions) often occur
235 at a small scale, it will be necessary for us to sample nearshore areas at a high spatial resolution. Our past
236 experience with such surveys indicates that at this high resolution we will not have sufficient time to
237 cover all of the nearshore areas corresponding to the UTL survey. Therefore, we will concentrate our
238 efforts on a smaller number of sites within each general area. To focus the research and provide the best
239 integration with the work of the other components, our proposed research also focuses solely on habitats
240 on the outside of Southeast Alaska, and similarly does not cover areas such as Shelikof Strait and Cook
241 Inlet. We are exploring the possibility of supplementing our research with opportunistic work inside
242 Southeast Alaska (e.g. at the AFSC Little Port Walter field station), but these opportunities are too
243 tentative to include in this proposal.

244
245 The following criteria govern the selection of sites:

- 247 1) The body of sites in each region must be representative of the habitats available to fishes in each
248 region. While it is impossible to represent all habitats, sites should represent main habitat types
249 (e.g. protected shoreline, extensive eel grass beds; see Fig. 2). In addition, habitat types so
250 represented should be similar between the two main regions to facilitate comparisons.
- 251 2) The spatial extent of the sites should cover most of each main region.
- 252 3) At least one site in each region must be in close proximity to seabird and/or Steller sea lion
253 predators. This is necessary to provide integration with the UTL predator portion of the UTL
254 component.

255 4) The availability of historical data at or close to sampling sites will enhance our ability to
 256 investigate interannual and decadal variability. Each region has experienced past research efforts
 257 that can be related to our findings.
 258

259 The planning year and pilot study will be crucial for determining the exact location of these sites, but we
 260 have provisionally identified five sites in each region that satisfy these criteria (Fig. 1). In addition, these
 261 sites represent all possible marine ecoregions within each region suggested by Piatt and Springer (2007).
 262
 263

tentative site	latitude	longitude	selected characteristics
central GOA			
Kiliuda Bay	57.264	-152.993	protected; eel grass; historical data
Izhut Bay	58.156	-152.280	semi-protected; canopy kelps; historical data
Barren Islands	58.891	-152.152	exposed; seabird colony site
Chugach Bay	59.168	-151.460	semi-protected; variable habitat types
Aialik Bay	59.776	-149.729	glacial-influenced fjord; sea lion rookery close
eastern GOA			
Palmas Bay	58.349	-137.029	semi-protected; adjacent historical data
Islas Bay	57.785	-136.391	protected
Shelikof Bay	57.113	-135.818	semi-protected; variable habitat types; estuary
south end Kruzof I./St. Lazaria I.	56.958	-135.718	exposed; seabird colony site
Whale Bay	56.589	-135.036	glacial-influenced fjord; historical data

264

265

266 **Research activities**

267 We will conduct five main research activities in satisfying the objectives: analysis of historical data,
 268 nearshore surveys, offshore acoustics survey onboard the UTL survey vessel, diet analyses, and
 269 assessment of nutritional condition and energetics. Some of the activities address more than one of the
 270 objectives.
 271

271

272 *Research activity 1: Synthesis of historical data and retrospective analysis (Objectives 1-3)*

273 The Gulf of Alaska ecosystem has been studied intensively over the last few decades, but much of this
 274 work has focused on small areas or a limited number of species. The GOA IERP provides an opportunity
 275 to synthesize some of this information and examine the interannual and decadal variability in the structure
 276 of forage communities. This research activity will parallel the proposed field work and laboratory
 277 analysis, i.e. we will use historical data to examine changes in distribution and abundance, habitat use,
 278 diet, and interactions among species. We also anticipate that this retrospective work will be conducted in
 279 cooperation with the UTL and LTL components, both of which include retrospective analyses.
 280

280

281 The analysis of historical data will proceed along two lines of effort:

282 1) Comparisons between “eras”: The focus of this effort will be to compare historical data to the
 283 data we generate in the field and laboratory components of the overall study. For example, two of
 284 our proposed study sites in the central GOA (Kiliuda Bay and Izhut Bay) were the sites of a
 285 comprehensive analysis of fish communities conducted in the late 1970s as part of the OCSEAP.
 286 Although the gear types we employ in our field work may not perfectly match those from the
 287 OCSEAP study, they are sufficiently similar to allow comparisons of the distribution and
 288 abundance of nearshore fishes in these bays. Some of these comparisons will be quantitative, e.g.
 289 we will use analysis of variance to test for changes in overall abundance and species composition.
 290 Other comparisons will necessarily be qualitative, e.g. the OCSEAP work included diet and food
 291 web analysis that will be compared to the new diet information we generate. Other datasets, such

292 as the food web model results produced by the AFSC, may be included in the latter comparison.
293 We anticipate identifying similar historical datasets in other areas through an extensive literature
294 review and consultation with faculty at the University of Alaska Fairbanks (UAF) and researchers
295 at government institutions.

296 2) Analyses of physical and ecological time series: The second component of our retrospective work
297 will be the assembling and analysis of continuous time series data. For example, the Alaska
298 Department of Fish and Game (ADFG) and the AFSC have conducted small-mesh trawl surveys
299 in the central and western GOA since 1972. The dataset includes information on the distribution
300 and abundance of several focal species of the GOA IERP (e.g. capelin, arrowtooth flounder, and
301 Pacific cod). These data, as well as others, will be compared to physical time series using
302 generalized additive models (GAMs). The use of GAMs will allow us to determine how a
303 variable of interest (e.g. arrowtooth abundance) responds to changes in multiple physical
304 variables. Spatial information like latitude and longitude can also be included in GAMs. The time
305 series analysis will be closely coordinated with the other IERP components. As a group, we will
306 identify environmental datasets that all components will use in their retrospective analysis. We
307 also anticipate that the results of our retrospective work will be used by the modeling component
308 in identifying the response of ecosystem members to environmental forcing.

309 *Research activity 2: Nearshore surveys (Objectives 1-2)*

311 The core field work in this proposal is a series of nearshore surveys that will be conducted using a
312 chartered fishing vessel and a skiff. These platforms will be used to deploy a variety of sampling gears to
313 sample areas from the shoreline out to 40 m depth (the inshore limit of the upper trophic level survey). In
314 addition, acoustics will be used to quantify midwater forage species. We will also measure multiple
315 habitat characteristics. The nearshore work will be coordinated with the offshore surveys conducted by
316 the UTL and LTL groups to provide a synoptic view of the two main study regions.

317
318 Survey timing: Nearshore surveys will be conducted in the spring, summer, and fall of 2011 and 2013 to
319 fully assess seasonal changes in the forage community and its interaction with the UTL focal fish species.
320 Although it would be ideal to conduct the offshore acoustics work in all three seasons, the lack of an
321 appropriate survey vessel (the UTL vessel) in the spring makes spring offshore sampling unfeasible.
322 Despite the fact that we will not achieve our synoptic view in the spring, nearshore sampling in the spring
323 is essential to understanding nearshore dynamics. The availability of forage for UTL predators is
324 especially important in spring (e.g. Womble and Sigler 2006). Our examination of seasonal changes in the
325 interactions among species also requires sampling in three seasons. Understanding changes in condition
326 that occur during the winter will be an important aspect of the energetics work and will require samples
327 from spring (post-winter) for comparison to fall (pre-winter) samples. Finally, sampling in the spring in
328 the nearshore will allow us to assess the fate of juvenile UTL focal fish species that settled in the
329 nearshore the previous fall.

330
331 Pilot project: In the summer and fall of 2010 we will conduct 2 14-day pilot surveys primarily in the
332 eastern GOA. These pilot projects will be essential for investigating study sites, developing efficient
333 sampling methods to maximize data collection, and determining the level of effort for the acoustics work
334 we will conduct in the nearshore in 2011 and 2013. Although most of the techniques we will employ have
335 been used previously, the data collection demands for our nearshore surveys is very high and it is crucial
336 that we develop an effective strategy to assess each sampling site as completely as possible within the
337 very limited timeframe.

338
339 Survey design and fish sampling: A small vessel (approximately 50' LOA) will be chartered for the
340 nearshore surveys. Such small vessels can approach the shoreline much more closely than large ones and,
341 and can be used to deploy many types of fishing gear if properly equipped. Ormseth used a 32' vessel in
342 2009 to deploy a bottom beam trawl and a surface pair trawl in conjunction with a large skiff. The main

343 limitation is the number of scientific personnel that the vessel can carry, but we have determined that
344 three scientific crew should be sufficient for the proposed work. One of the scientists will lead the
345 nearshore acoustics work. As described above, the research will be focused on a smaller number of sites
346 within the larger UTL study area to provide sufficient time to survey each area in a comprehensive
347 manner (Fig. 1). At each site a system of acoustic transects (more detail below) will be designed to cover
348 the study site and to match up with the UTL transects exactly, so that there is a seamless transect across
349 the shelf at our sampling site locations (Fig. 3). In addition to the acoustics, we will employ beach seines
350 to sample the shoreline and a beam trawl to sample demersal habitats (Fig. 3). A skiff will be used to
351 deploy the beach seine, for towing a paired trawl, and for exploring potential sampling sites. Scale is a
352 critical factor in determining spatial overlaps among species (Levin 1992), and the spacing of transects
353 and other gear deployments will be designed to match the goals of the research. The pilot study will be
354 important for addressing this question.

355
356 Catches will be sorted to species, and numbers and weight determined for the major species in the haul.
357 To assess the size structure of fish populations and provide data on growth and condition, focal forage
358 species individual lengths and weights will be measured. These measurements will also be performed on
359 any UTL focal species that are caught in the nearshore (juvenile pollock and cod are included in the
360 forage fish analysis). Tissue samples will be collected and frozen for diet and energetics analysis. To
361 compare community structure among sites, regions, and over time we will employ several metrics. The
362 Shannon-Wiener index will be used as a measure of species diversity. We will also compare total catch
363 biomass and species richness using ANOVA. Non-metric multidimensional scaling will be used to
364 elucidate patterns in species composition (Mueter and Norcross 1999, Abookire and Piatt 2005).

365
366 Nearshore acoustics: We will measure midwater fish abundance and distribution using acoustic methods.
367 Transects will be designed to cover the entire nearshore study sites. The transect design will be
368 coordinated with transects conducted with the UTL vessel to ensure that results are directly comparable
369 (Fig. 2). We will employ recent advances in sampling technology and analysis methods to the nearshore
370 environment. Calibrated split beam EK60 echosounders operating at 38, 120, and 200 kHz will be used,
371 as multiple frequencies will improve our ability to distinguish major groups of acoustic scatterers
372 (Johnsen et al. 2009; De Robertis et al. in press). We will also employ a 38/200 kHz single-beam acoustic
373 system in the skiff to survey in very shallow water. A species-specific target strength to length
374 relationship is required to convert acoustic units to fish biomass, but target strength estimates are not
375 available for many nearshore fishes. We will apply *in-situ* techniques (Traynor 1996) to estimate target
376 strength from split-beam measurements of locally abundant taxa if suitable conditions are encountered.

377
378 Groundtruthing the acoustic backscatter (i.e. identifying species composition and size distributions) will
379 be difficult in the nearshore due to the complexity of the backscatter and limitations on midwater
380 sampling. Small vessels are generally not equipped with the deck gear necessary for paired-warp trawling,
381 and net avoidance is a major problem with the small, single-warp trawls we would be able to deploy.
382 Therefore, we will use two methods that may provide less information but which we should be able to
383 reliably deploy. Vertical variable-mesh gillnets will be deployed in areas where large amounts of
384 backscatter is observed. These nets will capture a wide size range of fishes and should give us information
385 on the presence of species at different depths. A drop video camera will also be used to visually assess
386 fishes in the water column, although discrimination of species (e.g. capelin versus eulachon) may be
387 problematic and we will likely be unable to determine size compositions. As a result of these sampling
388 limitations for groundtruthing, we anticipate that we may not be able to provide species-specific estimates
389 of abundance in the nearshore. However, the acoustics work will provide us at a minimum with
390 information on the distribution of pelagic scatterers in units comparable to those from the UTL vessel .
391 The pilot studies will be critical to evaluate the merits and challenges of using the proposed optical and
392 acoustical tools and methods in these GOA nearshore environments. Our budget request is designed so
393 that the results of the pilot work can be used to determine which acoustic gears are most appropriate. For

394 example, if the groundtruthing of the split-beam acoustic data is too problematic we may limit our work
395 to a single, less quantitative, single-beam system on the large vessel only. In this case, the funds set aside
396 for purchasing split-beam gear in Year 2 would not be needed.

397
398 Habitat analysis - physical variables: During the course of the nearshore surveys, we will measure
399 multiple habitat variables. Conductivity-temperature-depth recorders (CTDs) will be deployed vertically
400 to measure temperature and salinity and define hydrographic structures (e.g. fronts) relevant to the
401 distribution of nearshore fishes. In addition, we will deploy datalogging CTDs (already in our possession
402 and used successfully to analyze dramatic salinity changes in Bristol Bay) on simple, temporary moorings
403 to quantify how temperature and salinity vary over tidal cycles. These CTDs will also be deployed on
404 beam trawls and midwater tows. These CTDs do not provide data at a sufficiently high resolution for
405 oceanographic work; if the LTL component requires such data we will perform selected CTD casts using
406 a borrowed, high-resolution CTD. Bottom type will be sampled Ponar grab on soft substrates and an
407 underwater video camera on hard substrates. The assessment of bottom type will be qualitative (e.g. gray
408 silt, cobble); substrate characteristics such as grain size will not be analyzed. The camera will also be used
409 to assess aquatic vegetation.

410
411 Habitat analysis - prey fields: For the purposes of this study, we consider the potential prey fields for
412 forage fishes and UTL focal fish species to be habitat characteristics. Investigating “suitable habitat”
413 requires the assessment of adequate prey resources in those habitats. Phytoplankton and zooplankton will
414 be assessed using standard methods. Phytoplankton will be assessed by collecting and filtering surface
415 water using clean plastic jars and GF/F filters. The filters will be frozen for subsequent laboratory
416 analysis. Zooplankton will be assessed using paired 150um mini-Bongo nets (~25cm diameter each).
417 Zooplankton samples will be preserved in formalin. Image analysis will be used to determine the relative
418 abundance and size distribution of the major species in each sample and will be conducted in cooperation
419 with the LTL component. We have budgeted for 10 samples each of phytoplankton and zooplankton at
420 each sampling site.

421
422 Our habitat analyses will also be coordinated with the habitat mapping efforts of the UTL component.
423 Although those analyses will not be completed before field surveys begin, we expect to use preliminary
424 results in our research design. This will enhance our ability to sample in areas that will provide us the best
425 mean of comparison. Conversely, our nearshore sampling will provide data for the UTL habitat work and
426 provide an opportunity to groundtruth predictions of the habitat models.

427
428 *Research activity 3: Offshore acoustic surveys (Objectives 1-2)*

429 To provide a synoptic view of forage fish distribution and abundance, it is critical that we also sample in
430 the offshore. We will supplement the existing UTL survey by adding acoustics to the sampling already
431 planned. The design of this activity is based on previous work conducted aboard Bering-Aleutian Salmon
432 International Survey (BASIS) vessels in the Bering Sea. The BASIS surveys are similar in design to the
433 GOA IERP UTL surveys and we anticipate a straightforward integration of the acoustics work into the
434 other sampling.

435
436 Survey design: The UTL survey vessel will be outfitted with a Simrad EK60 system and hull-mounted
437 transducers (38 and 120 kHz split-beam). Acoustic transects will be conducted while the vessel transits
438 between UTL grid stations. Midwater trawls will be performed to sample echosign, and we have
439 requested additional funds for the UTL vessel charter to provide extra time for these tows. The abundance
440 and biomass of major species in trawl tows will be assessed and size distributions determined. The
441 nearshore transects will correspond as directly as is feasible to the offshore transects and, because the
442 electronic gear is identical, will provide a seamless acoustic transect from nearshore to offshore (Fig. 2).
443 To enhance the tight coupling between nearshore and offshore surveys, MTL scientists aboard the UTL
444 vessel will provide measurements of abundance, biomass, and individual lengths and weights that are

445 identical in form to those obtained in the nearshore. Because the nearshore survey will also measure UTL
446 focal species, these two surveys combined will provide a synoptic view of both forage species and the
447 upper level predators.
448

449 Habitat analysis: In the offshore, water column properties and prey distributions will be the determining
450 habitat characteristics. Temperature and salinity data, as well as data on phyto- and zooplankton, will be
451 collected in the offshore aboard the UTL and LTL vessels. We expect to use those data in studying habitat
452 use of forage species in the offshore.
453

454 *Research activity 4: Diet analyses (Objective 3)*

455 Diets are a primary indicator of relationships among species. Predator diets yield information regarding
456 the predator (foraging habits, prey quality) and the prey (abundance and distribution). In addition, diets
457 can be used to determine the likelihood of competition among members of a community. A key aspect of
458 the GOA IERP Implementation Plan is to determine the effect of environmental processes on various
459 trophic levels and dynamical linkages among trophic levels. The research proposed here addresses this
460 problem by using multiple techniques to trace the flow of nutrients from zooplankton to forage fishes to
461 UTL predators.
462

463 We propose to approach our diet work using several techniques. Analysis of stomach contents is valuable
464 for providing instantaneous assessment of diets, and we include analysis of stomachs of fishes as part of
465 our proposed work. However, stomach content analysis may not represent the average diet and does not
466 allow the examination of longer-term diet patterns or the source of nutrients in diet. Stable isotope (SI)
467 and fatty acid (FA) analysis can reveal more information about diets and foraging patterns, allowing for a
468 more comprehensive study. In SI analysis, the fraction of different isotopes of carbon and nitrogen in
469 tissues is analyzed to produce a ratio of heavier to lighter isotopes. Because these isotopes are
470 metabolized in animals at different rates, they can be used as a marker. Stable carbon isotopes are
471 typically used to look at the origin of nutrients (e.g. terrestrial versus marine, benthic versus pelagic),
472 while nitrogen stable isotopes can indicate the trophic level of the sampled individual (Hobson and Clark
473 1992a; Hobson and Clark 1992b). Analysis of FAs can be used in several ways to study foraging ecology
474 and food webs in marine ecosystems. Using predator FA signatures, along with a comprehensive database
475 of prey FA signatures, it is possible to quantitatively estimate the proportions of different prey in the
476 predator diet (Iverson et al. 2004; Wang et al. 2009b). In addition, the presence of unique FAs found in
477 consumers can occasionally be traced to prey species and thus used for identification of forage items
478 (Budge et al. 2006; Budge et al. 2007). Finally in its simplest form, analysis of FAs in consumer fat stores
479 (i.e., adipose tissue, stomach oil, blood) alone can be used to qualitatively infer spatial and temporal
480 patterns in diets of free ranging animals. This qualitative analysis of FAs has been used in marine
481 mammals, marine birds, fishes, and plankton (Budge et al. 2002; Beck et al. 2007; Jaschinski et al. 2008;
482 Wang et al. 2009a). Together, analysis of stomach contents, SIs, and FAs will provide a comprehensive
483 study on diet analysis.
484

485 Diet analysis - stomach contents: During the nearshore surveys and aboard the UTL vessel, stomachs of a
486 selected number of individuals of the focal species will be analyzed. If possible, stomach contents will be
487 weighed. If boat movement prevents the measurement of small samples, we will measure stomach
488 contents volumetrically and measure average density to produce estimates of stomach contents weight.
489 Stomach samples will be preserved in formalin for later analysis. This analysis will be similar in form to
490 the zooplankton analysis: digital image analysis will be used to identify major species and their size
491 distributions.
492

493 Diet analysis - stable isotopes and fatty acids: From the same individuals where we collect stomach
494 contents we will collect tissue samples, store them in air-tight plastic bags and store them at -20 C until
495 analysis. Zooplankton samples collected on the nearshore survey will be frozen, and we will collaborate

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496 with UTL and LTL component researchers to obtain zooplankton samples from offshore areas. We will
497 collaborate with UTL seabird researchers to obtain adipose tissue and/or stomach oil samples from
498 seabirds at representative breeding colonies in Eastern and Central GOA. While it would be ideal to
499 obtain sea lion adipose tissue biopsies, it is uncertain if a permit would be granted to take biopsies. All
500 samples will be stored in air-tight containers and stored at -20 C until analysis.

501
502 For SI analysis, samples will be dried, ground, and weighed into foil sampling cups. The samples will
503 then be analyzed by an independent laboratory, such as the Alaska Stable Isotope Facility at UAF, using a
504 mass spectrometer. For FA analysis, samples will be shipped to Dalhousie University. Lipids will be
505 extracted, and fatty acids will be analyzed using gas chromatography. Stomach scans, SI analysis, and FA
506 analysis will all occur in the same individuals. We are requesting funds to analyze a total of 480 samples
507 in each of the full field years. Because 12 individuals of each species under study need to be analyzed to
508 reduce variance, we will be able to analyze 40 species per field year. This will be sufficient to analyze all
509 focal fish species (MTL and UTL), several species of zooplankton, and several UTL predators. The
510 allocation of these samples among seasons and sampling sites will be determined during the planning year
511 and will depend in part on the collaboration with the UTL component.

512
513 Several metrics will be used to infer relationships using diet data. Niche breadth will be estimated using
514 the method of Petraitis (1979), which compares the proportion of prey items in the diet to their
515 proportions in the environment. Competition among species will be inferred by calculating the Schoener
516 index (Schoener 1968), which provides a measure of niche overlap by comparing prey composition
517 between two species.

518
519 *Research activity 5: Energetics and nutritional condition (Objective 4)*

520 The nutritional condition of predators depends on the quality as well as quantity of prey. Therefore, we
521 will analyze proximate composition (lipid, protein, ash, and water contents) of the focal forage species.
522 These efforts will directly complement the energetics work in the UTL component. Collaborator
523 Vollenweider will oversee these efforts and she is a colleague of Ron Heintz, who will be conducting the
524 UTL analyses. As a result, the MTL and UTL energetics analyses will be essentially one project. This will
525 provide essential data to the UTL component. It will also allow us to determine how forage species are
526 affected by differences in their diets and ultimately, how species interactions impact individual condition
527 and the likelihood of survival.

528
529 The bioenergetics component of the MTL component will extend the work done in the UTL component
530 and provide modelers with estimates of biomass removals by apex predators. The bioenergetics section of
531 the UTL component is testing the hypothesis that the growth potential of juvenile groundfish is
532 maximized in nearshore habitats by comparing the health of fish sampled in different habitats. Samples of
533 the target and forage species collected under the MTL will be analyzed as in the UTL. The data collected
534 will include proximate composition and RNA/DNA. The energy content of target and forage species will
535 be determined from the estimated lipid and protein content of each fish. Both the energy and lipid values
536 will provide information on the health of fish sampled in different habitats, as well as the quality of forage
537 available to UTL predators.

538
539 Estimates of the energy content will also be used to estimate biomass removals by top level predators
540 within each sampling period. Published metabolic rates (FMR) and assimilation efficiencies (AE) will be
541 combined with field observations of diet composition (DC), prey quality (ED), and predator abundance
542 (N) to estimate consumption by different avian and mammalian predators in the Gulf of Alaska. Estimates
543 of DC and N for seabirds nesting at Amatuli and St. Lazaria Islands from the UTL component.

544 Retrospective analyses of DC and current estimates of N will be used for Steller sea lions, but the spatial
545 component may be limited areas as large as southeast Alaska and Kodiak. Values for ED will come from
546 forage samples collected during the research in this proposal (MTL). Additional predators can be added

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547 so long as there are concurrent observations of N and DC within some spatial context. Aside from
 548 observations of diet composition, prey quality and abundance no new parameters will be estimated for the
 549 bioenergetic models. The basic form of the models to be used is:
 550

$$551 \quad \text{Consumption}_p = \sum \frac{DC_i}{ED_i} \times \frac{FMR_i}{AE_i} \times D$$

552
 553 Where the total consumption by an individual predator of the p^{th} species in a given area depends on DC_i ,
 554 proportion of the i^{th} prey in the diet, ED_i the energy density of the prey, FMR_i the field metabolic rate, AE_i
 555 the proportion of the i^{th} prey that is assimilated, D is the number of days in the sample period (i.e.
 556 summer, fall) in the geographic area examined. Multiplying the consumption by N_p , the number of
 557 predators in the area, gives the total biomass removed.
 558

559 Project Responsiveness.

560
 561
 562 UTL: The proposed work responds to the UTL component in a number of ways. The retrospective work
 563 will provide a means of comparison about how the environment has shaped conditions for the UTL focal
 564 species in the past, and will be conducted in cooperation with UTL researchers. The nearshore surveys
 565 will provide information on the distribution and abundance of juveniles of the five focal fish species,
 566 answering questions regarding survival and settlement at the end of the “gauntlet”. In addition, the habitat
 567 and diet work will reveal the condition under which those juveniles live – i.e., how factors such as habitat
 568 availability and competition that might affect their survival are arranged in the nearshore. The offshore
 569 acoustics work will provide information on potential predators and competitors in offshore areas. Our
 570 contribution to the diet work of UTL predators will also enhance our knowledge of how these species
 571 interact with the focal species. Finally, the energetics work proposed here is directly connected to the
 572 similar research conducted by the UTL.
 573

574 LTL: Because the techniques we are using to analyze plankton in the nearshore, these samples will be
 575 available to supplement the work of LTL researchers. While the information on water column properties
 576 we are proposing to collect may not be directly useful to the LTL oceanographers, we will modify our
 577 approach if they require such data from the nearshore. The LTL component will provide an understanding
 578 of climate/ocean links and environmental forcing that will be a crucial addition to our work. We also
 579 expect that the data from the LTL component will inform our analyses of habitat use and diet by
 580 providing information on the prey available to forage fishes and juvenile groundfish. Our retrospective
 581 work will be conducted in collaboration with the LTL component and we anticipate that the results of
 582 these analyses will complement all components. We expect that, as it is in the ecosystem, the MTL
 583 component will provide a link between the results of the LTL and UTL studies.
 584

585 Modeling: The data and knowledge of relationships gained in this work will be useful for a number of
 586 types of models. Data on nearshore distribution of juvenile groundfish will be useful for informing
 587 individually-based models of larval transport and settlement. Discussions with the two potential modeling
 588 groups suggest two different ways that MTL data may be used. The analysis of niche overlaps,
 589 consumption, and condition could be used to provide rates (predation, survival) that could feed directly
 590 into models to predict survival of individuals. Alternatively, spatial data on habitat suitability (which may
 591 include presence of prey and predators, shelter from predators, or a combination of factors) could be
 592 incorporated into spatial models of optimal habitat selection. The analyses of forage fish communities
 593 could also provide important information for multispecies modeling. Also, analyses of diet will provide
 594 food web information that could generate data for models or provide something to compare them to. We
 595 expect that we will work closely with the modeling component to provide data they require.

596
597 The fundamental difference between the proposed work and previous research is that we will provide, for
598 the first time, a synoptic view of the GOA forage community from the shoreline out to the shelf break in
599 selected areas of the GOA. In addition, the design of our study allows us to simultaneously explore how
600 these communities vary at different temporal and spatial scales. At the same time, our work is based on
601 and complements earlier investigations. By choosing our study sites to correspond to similar work
602 performed in the past several decades, we will enable a direct comparison to past conditions. This work
603 also complements the surveys conducted by the AFSC (e.g. GOA bottom trawl survey and EIT surveys)
604 that are conducted only in offshore areas. The proposed work also complements the ADF&G small-mesh
605 surveys. We have also discussed this project with a group that is proposing research on humpback whales
606 to the National Science Foundation. If that research is funded, we anticipate that our work will
607 complement theirs.

608
609

610 D. Program Management, Timeline and Milestones

611

612 **Program Management**

613 The research team will include Dr. Olav A. Ormseth (AFSC) as the lead PI and Dr. Alex De Robertis
614 (AFSC), Dr. John Horne (University of Washington), Shiway Wang (Sedna Ecological, Inc.), and Dr.
615 Suzanne Budge (Dalhousie University, Halifax, NS) as PIs. Dr. Robert Foy (AFSC), Dr. Franz Mueter
616 (UAF), Dr. Chris Wilson (AFSC) and Johanna Vollenweider (AFSC) will serve as collaborators. Ormseth
617 will carry overall management responsibility for the MTL component, lead the nearshore surveys, lead
618 the analyses of habitat and species interactions, and assist in the retrospective analysis. He is the AFSC
619 assessment scientist for forage fishes in Alaska and has experience in conducting nearshore surveys using
620 small vessels. He has also performed research using stable isotopes and fatty acids. De Robertis will lead
621 the nearshore acoustics work. As a scientist in the AFSC's Midwater Assessment group, he has extensive
622 experience in conducting acoustic surveys, analyzing acoustic data and developing innovative sampling
623 methods. Horne will lead the acoustics research aboard the UTL survey and his team will serve as MTL
624 representatives on those cruises. He also has extensive acoustics experience and is currently conducting
625 similar research in the Bering Sea (as part of BSIERP). Wang and Budge will be co-PIs for the diet work.
626 Wang will design the sampling approach, coordinate collection, preparation, and shipment of samples,
627 and oversee the stable isotope analysis. Budge will also assist in research design and oversee the fatty acid
628 analysis. Wang and Budge have both participated in the development of novel uses of fatty acids and are
629 experienced in planning and conducting laboratory analyses. Foy will assist in the analysis of
630 retrospective data and serve as an advisor regarding the nearshore surveys. He collected some of the data
631 we plan to use for the retrospective work and is knowledgeable regarding data sources and the central
632 GOA region. Mueter will assist with overseeing the retrospective work. He is the PI for the UTL
633 retrospective analysis and has an extensive background in statistical methods. Wilson will oversee the
634 nearshore acoustics work and coordinate the work with the AFSC EIT survey. He is the head of the
635 Midwater Assessment group and is well versed in acoustics methods. Vollenweider will coordinate
636 activities between the MTL and the health assessment work performed by the UTL, and serve as an
637 advisor on the nearshore work in the eastern GOA. She has experience in energetics research and in
638 nearshore field sampling including acoustics.

639

640 To lead the retrospective work and assist Ormseth with planning and conducting the nearshore surveys,
641 we will extend the employment of a Term Research Fisheries Biologist at the AFSC for 2.25 years. This
642 biologist is experienced in the synthesis and analysis of historical data, including the construction of
643 GAMs. She is also an experienced field researcher. Ormseth will oversee her work and we expect that she
644 will work closely with Mueter and other scientists in conducting the retrospective analysis. A postdoc will
645 be hired in the Horne lab for 3 mos. per year to conduct the offshore acoustics analysis. Horne will also

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646 employ a technician part-time to participate in field work. The requested funds will also support the first
647 three years of a Ph.D. student in Horne's laboratory.

648
649 Ormseth will devote 3 mos. in year 1, and 4 mos. in each of years 2-5 of the GOA IERP. As a research
650 fishery biologist in the AFSC's stock assessment group, 80% of his time is devoted to research and
651 leadership. While his duties include several stock assessments, he is expected to conduct independent
652 research and the GOA IERP will be his primary research activity through 2014. Similarly, De Robertis
653 has survey and assessment responsibilities but also conducts research. Ormseth's and De Robertis'
654 supervisors have wholeheartedly endorsed their participation in the IERP. Therefore, we do not anticipate
655 that participation in the IERP will conflict with their duties at the AFSC.

656 **Research Platforms**

657 A small vessel (approximately 50' LOA) will be chartered for nearshore surveys in 2010, 2011, and 2013.
658 Vessel charters in 2010 (2 cruises of 14 days each) will be covered using Cobb replacement funds
659 administered by the AFSC. In 2011 and 2013, 1/3 of the charter days (3 cruises of 24 days each, 12 days
660 in each region) will be covered using Cobb replacement funds. We are requesting NPRB GOA IERP
661 funds for the remaining charters. We anticipate using different vessels in each region but we will attempt
662 to charter the same vessels during each season. The vessels will be required to have sufficiently shallow
663 draft to gain access to nearshore areas, and will accommodate at least two fishing crewmembers and three
664 scientists. The vessel will also need to have a winch or net reel and a boom for deploying gear. In
665 addition, we have arranged for the use of a 20' work skiff from the ABL. The skiff will be used to access
666 the shore for beach seining and for pair trawling and reconnaissance. The UTL survey vessel (chartered
667 vessel and NOAA replacement vessel) will serve as the platform for the offshore acoustics work, and we
668 have requested funds for extra UTL survey time to accommodate sampling tows. We also anticipate that
669 we will coordinate efforts during 2011 with an AFSC echo-integration trawl survey planned for 2011.
670 However, the design of that survey is too uncertain at this point to create a detailed research plan. The
671 stable isotope analysis will be conducted at an independent research facility, such as the Alaska Stable
672 Isotope Facility at UAF. Preparation of the stable isotope samples will occur at the AFSC in Seattle.
673 Analysis of plankton samples will be contracted to an appropriate facility and coordinated with the LTL
674 component. All of the fatty acid sample preparation and analysis will be conducted at Dalhousie
675 University. Energetics analyses will be conducted at the AFSC's laboratory in Juneau.

676 **Timeline and Milestones**

677 A timeline for the proposed work is included in Table 1. We are proposing 2 full years of field work
678 (2011 and 2013), with pilot studies in the summer and fall of 2010. In each full year, nearshore surveys
679 will occur in spring, summer, and fall. The offshore acoustics work will occur in summer and fall.
680 Laboratory analyses will occur subsequent to the field years, e.g. the analysis of diet samples collected
681 during 2011 will be conducted throughout 2012. Each of the entries in the table indicate measurable
682 milestones (e.g. completion of retrospective analysis and associated report, completion of pilot field
683 study). Dates for data availability is also indicated in Table 1. This timeline may be modified as the result
684 of coordination of activities with the other components.

685 **Products**

686 This study will yield the following products:

- 687 1) For the first time, a synoptic view of the distribution and abundance of GOA forage fishes from
688 the shoreline out to the shelf break
- 689 2) Dataset describing prey availability for UTL predators
- 690 3) Detailed GIS products showing forage fish distribution and habitat characteristics
- 691 4) Food web information, including an analysis of the transport of fatty acids through the food web
692 from zooplankton to top predators
- 693 5) A database containing all collected data and results of the retrospective analysis

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- 697 6) We anticipate producing at least the following peer-reviewed publications:
698 a) Retrospective analysis of nearshore and offshore distribution of GOA forage fishes
699 b) Cross-shelf distribution and abundance of forage fishes in the eastern and central GOA
700 c) Habitat preferences of GOA forage fishes
701 d) Trophic relationships among the GOA forage fish community
702 e) Comparison of GOA food webs past and present
703

704 As detailed above, the MTL component will provide data to the UTL and modeling components and
705 samples to the UTL and LTL components.
706

707 Research results will be disseminated through the peer-reviewed publications listed above, oral and poster
708 presentations at conferences, websites, and AFSC publications (technical memos and quarterly reports).
709 As detailed below, we will also seek out opportunities for outreach with the Alaska Sea Life Center and
710 other institutions.
711

712

713 E. Data Management Plan

714

715 Data management will be coordinated with the plan proposed by the UTL component, including
716 formatting appropriate for inclusion in the Alaska Marine Information System and access through web
717 portals. Metadata will be recorded continuously throughout the project, and the AFSC has personnel to
718 assist us in database management and metadata creation. Data management needs will include the
719 datasets collated in the retrospective work; datasets containing forage fish distribution and abundance data
720 as well as length compositions; acoustic datasets; habitat information; a GIS that will include subsets of
721 these data; and datasets from the diet and condition analyses. We do not envision that computation time
722 will be required beyond what is available on our workstations. We plan to generate a large number of
723 images and video (for analysis purposes and to record activities), so we will require a large amount of
724 data storage space (at least several terabytes). While obtaining data from other components is not critical
725 to the success of our field work, access to the habitat models and LTL data would be valuable for
726 designing and modifying our nearshore and offshore sampling plans. In addition, we plan to begin
727 analyzing data after the first full field year is complete (2012) and it would be helpful to have access to
728 field data from the LTL and UTL components at that time.
729

730

731 F. Outreach and Education Plan

732

733 We will coordinate our outreach efforts with the AFSC's outreach coordinators, the University of
734 Washington, and NPRB. The AFSC has recently dedicated additional personnel to focus exclusively on
735 outreach efforts and we anticipate relying on their assistance in developing outreach plans. In addition to
736 the website proposed in the UTL component, we suggest several other avenues for outreach. The Alaska
737 SeaLife Center (ASLC) in Seward, Alaska is situated almost exactly in the middle of the proposed study
738 areas and would be an ideal showcase for the GOA IERP. Research displays could even include
739 aquariums containing the focal species of the IERP. We have worked closely with ASLC aquarium staff
740 and have found them to be flexible and eager to make connections to the broader research community.
741 We have also had success in the past publicizing research in Alaska communities through articles in local
742 newspapers and interview on local radio stations. We are exploring the possibility of incorporating
743 college-level field classes in southeast Alaska into our opportunistic sampling in inside waters. Similar
744 opportunities may exist in the central GOA. In addition, tourists to Alaska are an underutilized audience
745 for education and outreach regarding Alaska marine issues. Cruise passengers in southeast Alaska would
746 benefit from receiving information on the GOA and the IERP, and would carry that message back with
747 them to the rest of the US and to other countries. Similarly, charter boat operations could be included in

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748 the outreach effort as their clients are usually highly interested in the marine environment. Outreach
749 efforts in Seattle may also be worthwhile, as the level of interest in Alaska and marine issues is high but
750 the degree of knowledge about these issues often is not. The University of Washington and the Seattle
751 Aquarium may be good contact points.

752

753

754 G. Coordination Strategy

755

756 Our research will be coordinated with several ongoing research activities at the AFSC. The results of the
757 nearshore acoustics work will be used by the Midwater Assessment group to interpret the results of the
758 GOA gulfwide surveys they conduct on an irregular basis. Data on nearshore fish distribution and
759 abundance, as well as habitat information, will be contributed to the Nearshore Fish Atlas of Alaska, an
760 online resource maintained by the AFSC's Auke Bay Laboratory (www.alaskafisheries.noaa.gov/habitat/fishatlas). Diet information will be made available to the AFSC's ecosystem modeling group and
761 we anticipate that it will inform their ongoing modeling efforts. While we have not yet established
762 cooperation with sea lion researchers at the ASLC, we anticipate that the data we generate on forage
763 fishes in the vicinity of the Chiswell Islands will benefit their sea lion research program.

764

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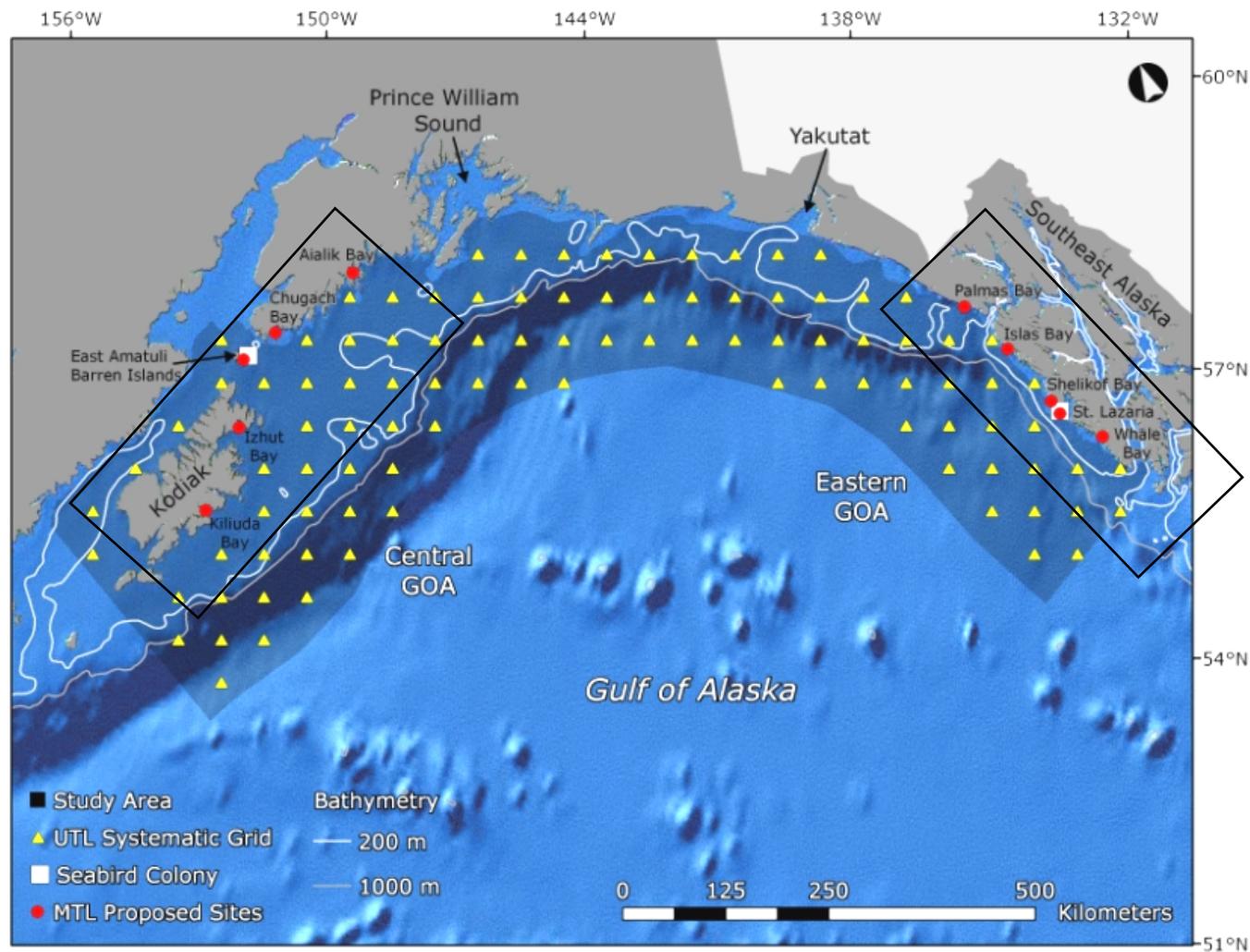
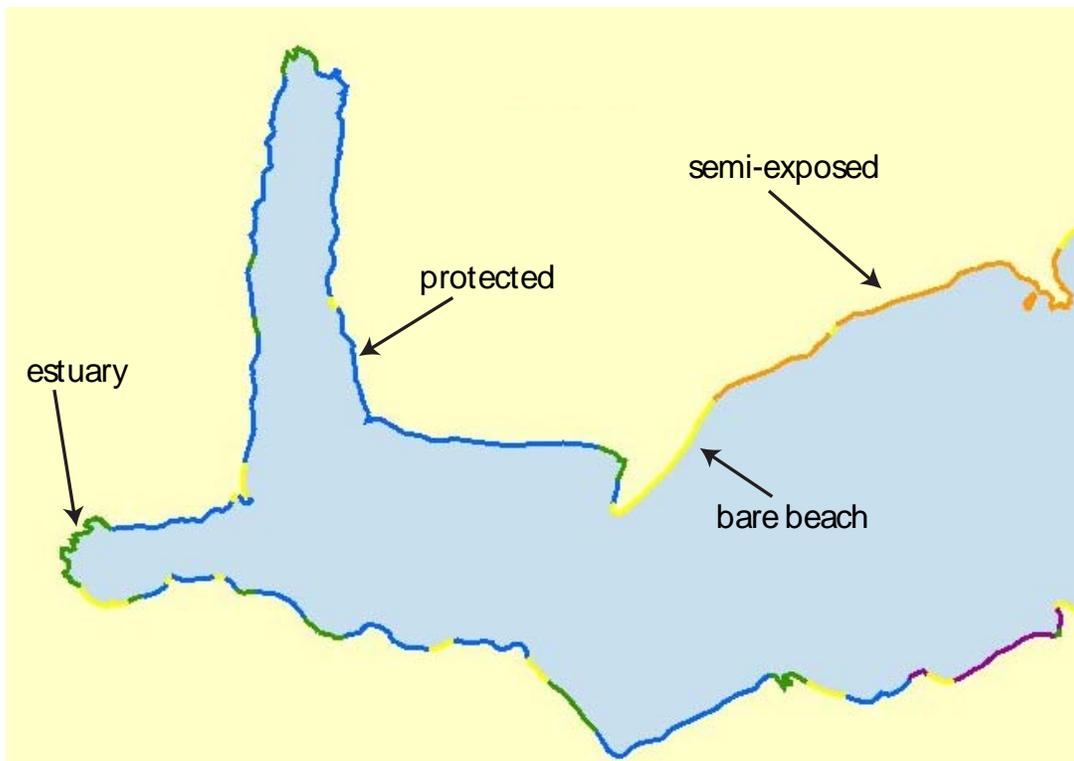
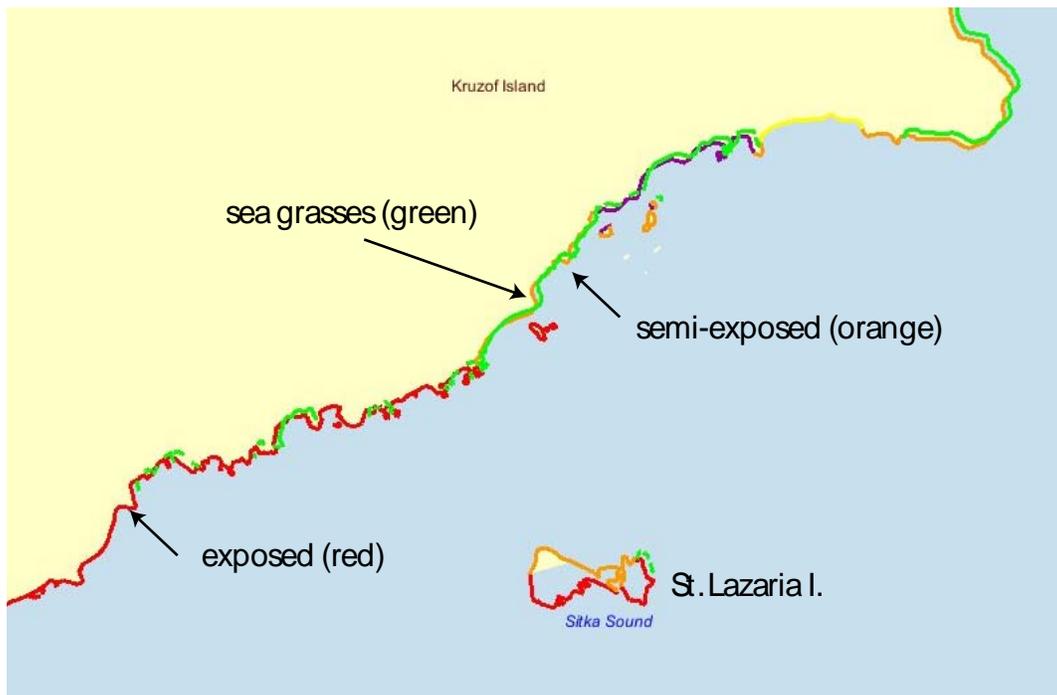
766 H. Figures and Tables767
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Figure 1. Map showing the location of proposed study sites for nearshore surveys. Legend is shown in figure; black rectangular outlines indicate approximate area of the original UTL sampling grid.



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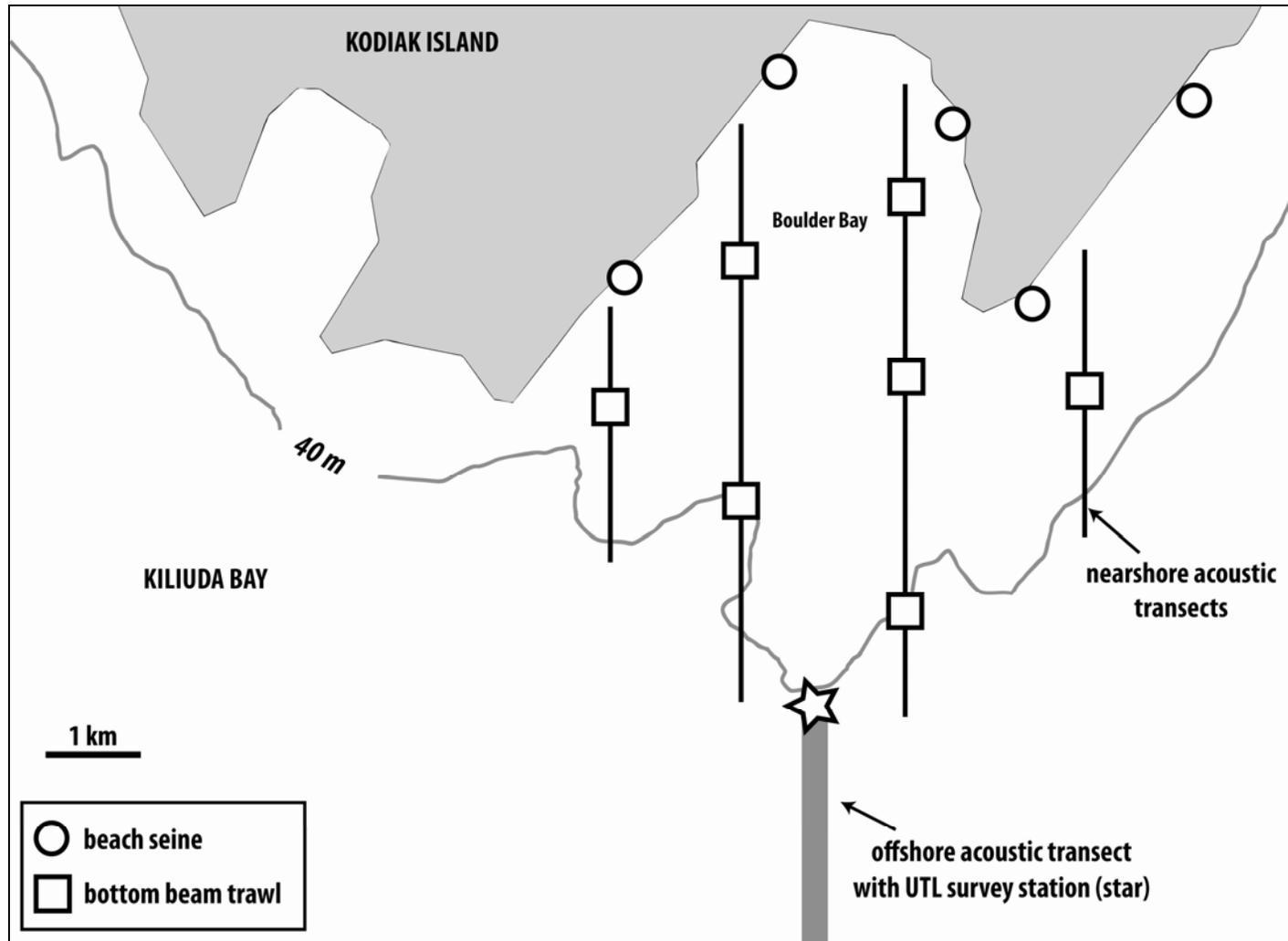


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Figure 2. Maps downloaded from Alaska ShoreZone (<http://alaskafisheries.noaa.gov/habitat/shorezone>) demonstrating differences in habitat types between Kiliuda Bay on Kodiak Island (top) and the south end of Kruzof Island in Southeast Alaska (bottom).

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Figure 3. Schematic showing a potential design for a nearshore survey study site and how the nearshore work corresponds to the UTL component. Habitat assessment would occur at the seine and trawl sites and along the acoustic transects. While the map shows an actual location (Boulder Bay on the southeast coast of Kodiak Island), the sampling design shown is for demonstration purposes only. Actual number of seine and trawl locations, as well as transect spacing, will depend on the outcome of the planning year and survey design process.

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Table 1. Timeline for proposed MTL component. SI = stable isotope, FA = fatty acid. Dates for data availability are provisional and will depend on results and coordination with other components.

	2010				2011				2012				2013				2014				2015
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1
Planning																					
Retrospective																					
- Collection of historical data																					
- Data analysis																					
- Comparison historical data to new data																					
Nearshore survey (including acoustics)																					
- pilot surveys																					
- seasonal surveys																					
Offshore acoustics (aboard UTL vessel)																					
Diet analysis																					
- Sample collection for diet analyses																					
- Analysis of stomachs, SI & FA																					
Energetics analysis																					
Data analysis																					
Manuscript and report writing																					
Lead PI attends AMSS																					

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APPOINTMENTS

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Post-Doctoral Fellow, Department of Biology, Dalhousie University, Halifax, Nova Scotia (1999-2003)

FIVE MOST RELEVANT PUBLICATIONS

Budge, S. M., Wooller, M.J., Springer, A. M., Iverson, S. J., McRoy, C.P. and Divoky, G.J. 2008. Tracing carbon flow in an arctic marine food web using fatty acid-stable isotope analysis. *Oecologia* 157: 117-129.

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PROFESSIONAL INTERESTS:

Fisheries acoustics, behavioral ecology of fish and zooplankton, optical ecology, predator-prey interactions, biological oceanography, research vessels.

EDUCATION AND EMPLOYMENT:

2003-Present Research Fishery Biologist, Alaska Fisheries Science Center. Research related to acoustic surveys in the North Pacific.

2001-2003 National Research Council Postdoctoral Fellow, Northwest Fisheries Science Center. Project title: Feeding ecology of juvenile salmonids in turbid environments. Adviser: Richard D. Brodeur

1995-2001 Ph.D. Biological Oceanography, Scripps Institution of Oceanography. Dissertation: Small-scale spatial distribution and swimming behavior of euphausiids in relation to visual predation risk. Adviser: Mark D. Ohman.

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TEN RELEVANT PUBLICATIONS:

De Robertis, A., McKelvey, D., and Ressler, P.H. (in review) Development and application of empirical multi-frequency methods for backscatter classification. Canadian Journal of Fisheries and Aquatic Sciences.

Rooper, C. N., Hoff, G. R., and De Robertis, A. (in review) Assessing habitat utilization and rockfish biomass in an isolated rocky ridge using acoustics and stereo image analysis. Canadian Journal of Fisheries and Aquatic Sciences

Kotwicki, S., De Robertis, A., von Szalay, P., Towler, R. (2009) The effect of light intensity on the availability of walleye pollock (*Theragra chalcogramma*) to bottom trawl and echo-integration trawl surveys in the Eastern Bering Sea. Canadian Journal of Fisheries and Aquatic Sciences, 6:983-994

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Professional Preparation

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Appointments

2008- Associate Professor -- University of Washington, School of Aquatic and Fishery Sciences. Affiliated with the Quantitative Ecology and Resource Management Program.

2004-2008 Research Associate Professor -- University of Washington, School of Aquatic and Fishery Sciences. Affiliated with the Quantitative Ecology and Resource Management Program.

2001-2004 Research Assistant Professor – University of Washington, School of Aquatic and Fishery Sciences. Affiliated with the Quantitative Ecology and Resource Management Program.

1999-2000 Research Scientist – NOAA Alaska Fisheries Science Center and University of Washington, Joint Institute for the Study of the Atmosphere and Ocean.

1997-1999 Research Scientist - NOAA Great Lakes Environmental Research Laboratory. Adjunct Assistant Professor - School of Natural Resources and Environment and College of Engineering, University of Michigan.

1996-1997 Adjunct Assistant Professor - Geography and National Center for Geographic Information and Analysis. State University of New York, University at Buffalo.

Relevant Publications

Gregg, M.C. and J.K. Horne. 2009. Turbulence, acoustic backscatter, and pelagic nekton in Monterey Bay. *Journal of Physical Oceanography* 39: 1097-1114.

Horne, J.K. 2008. Acoustic ontogeny of teleost fish. *Journal of Fish Biology* 73: 1444-1463.

Burgos, J.M. and J.K. Horne. 2008. Acoustic characterization and classification of pelagic organisms distributions. *ICES Journal of Marine Science* 65: 1235-1247.

Horne, J.K. and P.D. Walline. 2005. Spatial and temporal variance of walleye pollock in the Eastern Bering Sea. *Canadian Journal of Aquatic and Fishery Science* 62: 2822-2831.

Horne, J.K. 2000. Acoustic approaches to remote species identification: a review. *Fisheries Oceanography* 9: 356-371.

Other Publications

Horne, J.K., Sawada, K., Abe, K., Kreisberg, R., Barbee, D., and Sadayasu, K Swimbladders under pressure: anatomical and acoustic responses by walleye pollock. *ICES Journal of Marine Science* 66: 1162-1168.

Henderson, M.J., Horne, J.K. and R.H. Towler. 2008. The influence of beam position and swimming direction on fish target strength. *ICES Journal of Marine Science* 65: 226-237.

Burgos, J.M. and J.K. Horne. 2007. Sensitivity analysis and parameter selection for detecting aggregations in acoustic data. *ICES Journal of Marine Science* 64: 160-168.

Horne, J.K. and J.M. Jech. 2005. Models, measures, and visualizations of fish backscatter. *In* H. Medwin [ed.]. *Sounds in the Seas: Introduction to Acoustical Oceanography*. pp. 374-397. Academic, New York.

Gauthier, S. and J.K. Horne. 2004. Acoustic characteristics of forage fish species in the Gulf of Alaska and Bering Sea. *Canadian Journal of Aquatic and Fishery Science* 61: 1839-1850.

Synergistic Activities

Member of Acoustical Oceanography Technical Committee, Acoustical Society of America.

Member of Scientific Organization Committee, ICES Symposium on the Ecosystem Approach with Fisheries Acoustics and Complementary Technologies (SEAFACETS, ICES).

Organizer of Acoustic Backscatter Modeling Workshop, National Ocean Partnership Program.

Member of Science and Technology Advisory Committee (STAC), Ocean Observatories, National Science Foundation (ORION, NSF).

Founder and coordinator of the NOAA Alaska Fisheries Science Center and University of Washington, School of Aquatic and Fishery Sciences Undergraduate Summer Intern Program.

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Current position and relevant activities

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Education

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Dissertation: Reproductive potential of Pacific cod in Alaska

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Thesis: The role of leptin hormone in the regulation of seasonal body weight in the arctic ground squirrel

B.A., Biology, Bates College, Lewiston, ME, May 1991, Minor in German

Junior Year Abroad, Ludwig-Maximilians Universitaet, Munich, Germany, 1989-1990.

Past positions

Instructor in Marine Biology, Alaska Pacific University, Anchorage Alaska, 2006. Taught two courses in marine biology and fisheries.

Research fellow, School of Fisheries and Ocean Sciences, University of Alaska Fairbanks, 2001-2006 (Ph.D. research)

Lead Project Technician, Institute of Arctic Biology, UAF, Fairbanks, AK, 1998-2000

Collaborated in design and implementation of research on river otters at the Alaska Sealife Center, Seward, AK.

Fisheries Technician II, Alaska Dept. of Fish & Game, Fairbanks, AK, 1999

Wildlife Technician II, AK Dept. of Fish & Game, Soldotna, AK, 1997

M.S. research, Institute of Arctic Biology, UAF, Fairbanks, AK, 1995-1997

Designed and conducted research projects on the physiology and molecular biology of hibernation in field and laboratory settings.

Relevant refereed publications

Ormseth OA and BL Norcross (2009) Causes and consequences of life-history variation in North American Pacific cod stocks. *ICES Journal of Marine Science* 66: 349-357

Ormseth OA, Buck CL, and Norcross BL. *In preparation*. Proximate, lipid class, and free amino acid composition of Pacific cod eggs. For submission to *Marine Biology*.

Ormseth OA, Buck CL, and Norcross BL. *In preparation*. Influence of geographic area and maternal attributes on the lipid and fatty acid composition of Pacific cod eggs.

For submission to *Canadian Journal of Fisheries and Aquatic Sciences*.

Ormseth OA and M Ben-David (2000) Ingestion of crude oil: effects on digesta retention times and nutrient uptake in captive river otters. *Journal of Comparative Physiology B* 170(5/6): 419-428

Ben-David M, TM Williams, and OA Ormseth (2000) Effects of oil ingestion on exercise physiology and diving behavior in river otters: a controlled study. *Canadian Journal of Zoology* 78(8): 1380-1390

Relevant reports

Stock assessment and fishery evaluation reports for BSAI squids, BSAI skates, GOA squids, GOA skates, and GOA forage fish. North Pacific Fishery Management Council, December 2008

Stock assessment and fishery evaluation reports for BSAI squids, BSAI skates, GOA squids, GOA skates, and GOA forage fish. North Pacific Fishery Management Council, December 2007

Field Experience

Multiple research cruises in the Gulf of Alaska and Bering Sea, including a 2-week nearshore survey in northern Bristol Bay in summer 2009. Chief scientist on 2 cruises.

Commercial salmon fisherman, Prince William Sound and Bristol Bay, Alaska, 2000-2006.

Recent grants

National Marine Fisheries Service Essential Fish Habitat. "Utilization of nearshore habitat by fishes in Nushagak and Togiak Bays (Bristol Bay)", 2009. \$68,000

NMFS National Cooperative Research Funds. "Pacific cod large-scale movement: testing feasibility of using archival light sensor tags to determine geolocation", 2009, \$58,000

Collaborator on two projects funded by the North Pacific Research Board (618, 808), 2006-2009

National Marine Fisheries Service/Cooperative Institute for Arctic Research. "Reproductive potential of Pacific cod", 2001-2007, \$150,000

Awards and Scholarships

Best Poster, 11th Annual North Pacific Marine Science Organization (PICES) meeting, Qingdao, China, 2002

Rasmuson Fisheries Science Center Graduate Fellowship, 2002-2006

Honor Society of Phi Kappa Phi, member since 1997

Collaborators

C. Loren Buck, UAA; Liz Conners, NMFS/AFSC; Nicola Hillgruber, UAF/SFOS; Jerry Hoff, NMFS/AFSC; Anne B. Hollowed, NMFS/AFSC; Scott Johnson, NMFS/AFSC; Libby Logerwell, NMFS/AFSC; Susanne McDermott, NMFS/AFSC; Peter Munro, NMFS/AFSC; Sandi Neidetcher, NMFS/AFSC; Brenda L. Norcross, UAF/SFOS; Dan Urban, NMFS/AFSC

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Education

M.S. 2005 Marine Biology, University of Alaska Fairbanks
B.S. 1996 Chemical Engineering, University of Colorado Boulder

Professional Experience

2009 – present *Research Biologist and Owner*, Sedna Ecological, Inc.
2007 – 2009 *Research Associate*, Eider Program, Alaska SeaLife Center
2006 – 2008 *Fishery Biologist*, U.S. Geological Survey/Alaska Science Center
2006 *Research Professional 3*, School of Fisheries and Ocean Sciences, University of Alaska Fairbanks
2005 *Graduate Teaching Assistant*, Department of Biology & Wildlife, University of Alaska Fairbanks
2005 *Research Professional 1*, Department of Biology & Wildlife, University of Alaska Fairbanks
2003 – 2005 *Graduate Student Trainee*, U.S. Geological Survey/Alaska Science Center
1998 – 2002 *Field Biologist/Technician and Research Lab Assistant*, Various Employers (USFWS, USGS, NOAA/NMFS, Point Reyes Bird Observatory, University of Washington)

Publications

Wang SW, Hollmén TE, Iverson SJ (2009) Validating quantitative fatty acid signature analysis to estimate diets of spectacled and Steller's eiders (*Somateria fischeri* and *Polysticta stelleri*). *Journal of Comparative Physiology B*. DOI 10.1007/s00360-009-0393-x

Wang SW, Iverson SJ, Springer AM, Hatch SA (2009) Spatial and temporal diet segregation in northern fulmars (*Fulmarus glacialis*) breeding in Alaska: insights from fatty acid signatures. *Marine Ecology Progress Series* 377:299-307. DOI 10.3354/meps07863

Wang SW, Iverson SJ, Springer AM, Hatch SA (2007) Fatty acid signatures of stomach oil and adipose tissue of northern fulmars (*Fulmarus glacialis*) in Alaska: implications for diet analysis. *Journal of Comparative Physiology B* 177:893-903. DOI 10.1007/s00360-007-0187-y

Meka JM, Zimmerman CE, Heintz RA, **Wang SW** (2007) Body condition and feeding ecology of Kuskokwim River chum salmon (*Oncorhynchus keta*) during freshwater outmigration. Report submitted to Arctic-Yukon-Kuskokwim Sustainable Salmon Initiative, Anchorage, AK. 61pp

Federer RN, Hollmén TE, Esler D, Wooller MJ, **Wang SW**. Stable carbon and nitrogen isotope fractionation factors from diet to cellular blood, blood plasma, feathers, and adipose tissue in spectacled eiders (*Somateria fischeri*). In prep for Canadian Journal of Zoology

Current Activities

Studying diets in threatened eiders using stable carbon isotopes of specific fatty acids: validation of a new technique with controlled feeding experiments. NPRB Project 912.

Field Experience

30+ months on remote islands in Alaska, Antarctica, California, and Hawaii conducting seabird population monitoring, censuses on marine mammals, collecting tissue samples (blood, fat, feathers, feces, stomach oil) from marine birds for various analyses.
8 at-sea surveys in Alaska assisting with mid-water and bottom trawls, beach seines, plankton tows and CTDs.

Lab Experience

Lipid extraction, transesterification, and thin layer chromatography for fatty acid analysis
Radioimmunoassay analysis
Otolith microchemical analysis prep

Professional activities

2006 – 2008 Alaska/Russia Regional Representative, Pacific Seabird Group
2008 National Ocean Science Bowl Volunteer
2004 – 2006 Chair of Silent Auction, 32nd and 33rd Pacific Seabird Group Meetings
2005 – 2006 Local Organizing Committee, 33rd Pacific Seabird Group Meeting
2004 – 2006 Student Representative, Pacific Seabird Group

Professional memberships

American Ornithologists' Union, Pacific Seabird Group, Society of Integrative and Comparative Biology

Certifications

Wilderness First Aid/CPR, Motorboat Operator Certification Course, Hazardous Materials Transportation, Bear Safety, Firearms Training

Collaborators in last 5 years

Loren Buck (UAA), Sue Budge (Dalhousie University), Paul Flint (USGS), Karen Foster (University of Ottawa), Scott Hatch (USGS), Tuula Hollmén (ASLC, UAF), Sara Iverson (Dalhousie University), Sasha Kitaysky (UAF), Jim Lovvorn (University of Wyoming), Margaret Petersen (USGS), Alan Springer (ASLC, UAF), Matthew Wooller (UAF)